Form PTO-1390

U.S. DEPARTMENT OF COMMERCE | ATTORNEY'S DOCKET NUMBER

PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

P29678

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/575883

| INTERNATIONAL APPLICATION NO. PCT/EP2004/011645 | | INTERNATIONAL FILING DATE 15 October 2004 | PRIORITY DATE CLAIMED 15 October 2003 | |
|--|---|--|--|--|
| TITLE OF INVENTION NOVEL DIPEPTIDYL PEPTIDASE IV INHIBITORS USED FOR FUNCTIONALLY INFLUENCING DIFFERENT CELLS AND TREATING IMMUNOLOGICAL, INFLAMMATORY, NEURONAL, AND OTHER DISEASES | | | | |
| APPLICANT(S) FOR DO/EO/US Siegfried ANSORGE, Ute BANK, Karsten NORDHOFF, Michael TAEGER, and Frank STRIGGOW | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information. | | | | |
| 1. | 1. A This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | |
| 3. | This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (23) indicated below. | | | |
| 4. | 4. The US has been elected (PCT Article 31). | | | |
| 5. | b. | only if not communicated by the Interna | eiving Office (RO/US). | |
| 6. | ☒ An English language translation of ta. ☒ is attached hereto.b. ☐ has been previously submitte | | U.S.C. 371 (c)(2)). | |
| 7. | 6. ☑ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. ☑ is attached hereto. b. ☐ has been previously submitted under 35 U.S.C. 154 (d) (4). 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. ☐ are attached hereto (required only if not communicated by the International Bureau). b. ☐ have been communicated by the International Bureau. c. ☐ have not been made; however, the time limit for making such amendments has NOT expired. d. ☐ have not been made and will not be made. | | | |
| 8. | ☐ An English language translation of t | he amendments to the claims under P0 | CT Article 19 (35 U.S.C. 371(c)(3)). | |
| 9. | 🖾 An oath or declaration of the inventor | or(s) (35 U.S.C371(c)(4)). | CT Article 19 (35 U.S.C. 371(c)(3)). | |
| | "Unexecuted" | | ~ | |
| 10. | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | |
| Items 11 to 22 below concern other document(s) or information included: | | | | |
| 11. | Assignee: Institut fuer Medizintechnologi | ogie Magdeburg (IMTM) GmbH, Magde | burg, Germany; and KeyNeurotek AG, of . | |
| | Magdeburg, Germany | | | |
| 12. | ☐ An Information Disclosure Statemen | nt under 37 CFR 1.97 and 1.98. | | |
| 13. | ☐ An assignment document for record | ing. A separate cover sheet in complia | nce with 37 CFR 3.28 and 3.31 is included. | |
| 14. | ☑ A FIRST preliminary amendment.☐ A SECOND or SUBSEQUENT preliminary | minary amendment. | | |
| 15. | 15. An Application Data Sheet under 37 C.F.R. 1.76. | | | |
| 16. | 16. A substitute specification. | | | |
| 17. | 7. A power of attorney and/or change of address letter. | | | |
| 18. | 8. X Figure of Drawing to be published: 1 | | | |
| 19. | 9. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. | | | |
| 20. | 0. A second copy of the published international application under 35 U.S.C. 154 (d) (4). | | | |
| 21. | . A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4). | | | |

22.

Other items or information: Cover Letter under 35 U.S.C. 371 and 37 C.F.R. 1.495. International Application as published in German.

PCT/ISA/210 International Search Report (in English and German).

PCT/IB/304. PCT/IB/308.

Claim of Priority.

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·10/5/5883 IAP15 Rec'd PCT/PTO 14 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Siegfried ANSORGE et al. **Mail Stop PCT**

Appl. No.: Not Yet Assigned (U.S. National Phase of PCT/EP2004/011645)

I.A. Filed:

October 15, 2004

For

NOVEL DIPEPTIDYL PEPTIDASE IV INHIBITORS USED FOR FUNCTIONALLY

INFLUENCING DIFFERENT CELLS AND TREATING IMMUNOLOGICAL.

INFLAMMATORY, NEURONAL, AND OTHER DISEASES

COVER LETTER ACCOMPANYING U.S. NATIONAL STAGE PATENT APPLICATION FILED UNDER 35 U.S.C. 371 AND 37 C.F.R. 1.495

Mail Stop PCT

Commissioner for Patents U.S. Patent and Trademark Office Customer Service Window, Mail Stop PCT Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

Enclosed is a new National Stage patent application for filing in the U.S. Patent and Trademark Office under 35 U.S.C. 371 and 37 C.F.R. 1.495. The Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney will be filed within the period of time set in a Notification to be mailed by the United States Patent and Trademark Office.

Related to the above, this application should be associated with Customer Number 07055, which includes the present correspondence address as follows:

> GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191

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If there are any questions pertaining to this National Stage Application, please contact the undersigned.

Respectfully submitted

Siegfried ANSORGE et al.

Neil F. Greenblum

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